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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	15-838C1
First Inventor	Richard A. Whiting
Title	COMPONENTS FOR ROOF ASSEM
Express Mail Label No.	EL 860973748 US

U.S. PTO  
15-83815  
22154  
10/14/03**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 41]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 17]
- Oath or Declaration [Total Sheets 58]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:  
Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. 09/749,312.....

Prior application information: Examiner Steve M. Varner Art Unit: 3635  
For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number: \_\_\_\_\_ OR  Correspondence address below

Name	William A. Johnston		
Address	Watts Hoffman 1100 Superior Avenue East, Suite 1750		
City	Cleveland	State	Ohio
Country	US	Telephone	216/241-6700
Zip Code	44114-2518		
Fax	216/241-8151		

Name (Print/Type)	William A. Johnston	Registration No. (Attorney/Agent)	47,687
Signature	<u>WAT</u>	Date	10/14/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
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10/14/03  
U.S. PTO

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# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Richard A. Whiting
Examiner Name	
Art Unit	
Attorney Docket No.	15-838C1

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	23-0630
Deposit Account Name	Watts Hoffman

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385			Utility filing fee	770.00
1002 340	2002 170			Design filing fee	
1003 530	2003 265			Plant filing fee	
1004 770	2004 385			Reissue filing fee	
1005 160	2005 80			Provisional filing fee	
SUBTOTAL (1)					(\$ 770.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
15	1		-20** =	X 18 =	
			- 3** =	X 43 =	

Large Entity	Small Entity	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	1051 65	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	1052 25	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	1251 55	2251 55	Extension for reply within first month	
1252 420	2252 210	1252 210	2252 210	Extension for reply within second month	
1253 950	2253 475	1253 475	2253 475	Extension for reply within third month	
1254 1,480	2254 740	1254 740	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	1255 1,005	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	1401 165	2401 165	Notice of Appeal	
1402 330	2402 165	1402 165	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	1403 145	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	1452 55	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	1453 665	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	1501 665	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	1502 240	2502 240	Design issue fee	
1503 640	2503 320	1503 320	2503 320	Plant issue fee	
1460 130	1460 130	1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	1809 385	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	1810 385	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	1801 385	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	1802 900	1802 900	Request for expedited examination of a design application	

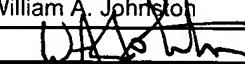
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	William A. Johnston	Registration No. (Attorney/Agent)	47,687	Telephone	216/641-6700
Signature				Date	10-14-03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited today with the U.S. Postal Service as Express Mail addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

On 10/14/03 By: Mary Curt

EXPRESS MAIL NO. EL 860973748 US

## UTILITY PATENT APPLICATION TRANSMITTAL

The Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

This is a request for filing a new nonprovisional patent application.

This is a request for filing a   patent application of pending prior U.S. Application No.  , filed  , now  .

The inventor(s) of the invention being claimed in this application is (are):

RICHARD ANTHONY WHITING

The title of this application is:

COMPONENTS FOR ROOF ASSEMBLIES

Enclosed are:

29 Page(s) of Specification  
11 Page(s) of 67 Claims  
1 Page(s) of Abstract  
17 Sheet(s) of Drawings  
Assignment of the invention to \_\_\_\_\_  
Assignment Cover Sheet  
 Declaration and Power of Attorney for Patent Application  
Other:  
Priority of foreign application number   filed on   in   is claimed under 35 U.S.C. § 119(a) - (d).  
The certified copy:  
  is enclosed.  
  was filed in prior application no.  , filed  .

EL391520899US

Express Mail Label No: EL391520899US  
I hereby certify that this paper is being deposited with the U.S. Postal Service as Express Mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

on 12/27/00 by Mariah Brinson

The filing fee has been calculated as shown below:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	<b>TOTAL CLAIMS</b> (37 C.F.R. § 1.16(c))	67	-20 = 47	x \$18.00 =	\$ 846.00
	<b>INDEPENDENT CLAIMS</b> (37 C.F.R. § 1.16(b))	5	- 3 = 2	x \$80.00 =	\$ 160.00
	<b>MULTIPLE DEPENDENT CLAIMS (if applicable)</b> (37 C.F.R. § 1.16(d))			+ \$270.00	\$ 0.00
				<b>BASIC FEE</b> (37 C.F.R. § 1.16(a))	\$ 710.00
				<b>Total of Above Calculations =</b>	\$ 1,716.00
	Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28).				
				<b>TOTAL =</b>	\$ 1,716.00

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9, 1.27: \_\_\_\_\_  
 is enclosed.

was filed in prior application no. \_\_\_\_\_ and such status is still proper and desired (37 C.F.R. § 1.28(a)).

A check is enclosed to cover the \$1,716.00 filing fee.

A check is enclosed to cover the \$ \_\_\_\_\_ petition fee under 37 C.F.R. § 1.17.

A check is enclosed to cover the \$ \_\_\_\_\_ assignment recordation fee.

Charge Deposit Account No. 23-0630 in the amount of \$ \_\_\_\_\_.

The Commissioner is hereby authorized to credit any overpayment or to charge any fees under 37 C.F.R. §§ 1.16, 1.17, 1.21 in connection with this communication to our Deposit Account No. 23-0630.

The Commissioner is hereby authorized to credit any overpayment or to charge any fees under 37 C.F.R. §§ 1.16, 1.17 which may be required during the entire pendency of this application to our Deposit Account No. 23-0630.

7 X

Please direct all correspondence in connection with this application to the following address:

WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A.  
1100 Superior Ave., Ste. 1750  
Cleveland, Ohio 44114

and please direct all telephone calls to Thomas E. Fisher at (216) 241-6700.

Respectfully submitted,



Date: December 27, 2000

Thomas E. Fisher  
Registration No. 18,271

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& HEINKE CO., L.P.A.  
1100 Superior Ave., Ste. 1750  
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